

**KENTUCKY LEGISLATIVE ETHICS COMMISSION**  
**22 Mill Creek Park**  
**Frankfort, Kentucky 40601**  
**(502) 573-2863**

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**LEGISLATIVE AGENT'S UPDATED REGISTRATION STATEMENT**  
**FOR THE PERIOD FROM \_\_\_\_\_ TO \_\_\_\_\_.**

*Attach additional sheets when necessary.*

**Due the 15th day of \_\_\_\_\_.**

**LEGISLATIVE AGENT**

Name \_\_\_\_\_  
Business address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Telephone \_\_\_\_/\_\_\_\_

**EMPLOYER OF LEGISLATIVE AGENT (Legislative Agent must file separate form for each employer)**

Name of individual, business, or organization \_\_\_\_\_  
Name of person responsible for directing legislative activity \_\_\_\_\_  
Business address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Telephone \_\_\_\_/\_\_\_\_  
Real party in interest, if different from employer \_\_\_\_\_  
Nature of business \_\_\_\_\_

☐ **Information above is a change in information previously provided.**

List the specific bills/resolutions/issues lobbied during the reporting period. (Eg. HB 58; if none, so state).

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**REPORT OF FINANCIAL TRANSACTIONS (KRS 6.611(18), 6.824, 6.827)**

**If the legislative agent was a party to a financial transaction, as defined below, the details required are provided on a separate statement filed with this updated registration statement. A copy of the attached statement was served on the official involved, in accordance with KRS 6.827(1), on \_\_\_\_\_ (date).**

**DEFINITION:** A financial transaction is a transaction or activity conducted or undertaken for profit and arising from the joint ownership, ownership, or part ownership in common of any real or personal property or any commercial or business enterprise of whatever form or nature between a legislative agent, his employer, or a member of the immediate family and a member of the General Assembly, the Governor, the secretary of a cabinet or any member of the staff of these officials.

## STATEMENT OF EXPENDITURES (KRS 6.821)

Filers to use the accrual method of accounting. *Attach additional sheets when necessary.*

- A. Expenditures by legislative agent for food and beverages consumed on the premises provided to individual legislator or individual legislator's immediate family, not included in events listed in section B, below. This information must be provided to the named legislators at least ten days prior to filing.**

Names \_\_\_\_\_  
Amount \$ \_\_\_\_\_ Date \_\_\_\_\_  
Description \_\_\_\_\_

Category A Total \$ \_\_\_\_\_.

- B. Expenditures by legislative agent for receptions, meals, or events which qualify under KRS 6.611(2)(b) 8., 11., or 12. Note: trade associations are now included as sponsoring entity in KRS 6.611(2)(b)12.**

Names of individuals, or group of public servants invited \_\_\_\_\_  
Date and location of event \_\_\_\_\_ Amount \$ \_\_\_\_\_  
Description \_\_\_\_\_

Category B Total \$ \_\_\_\_\_.

- C. Expenses directly associated with legislative agent's lobbying activities, during the reporting period, other than personal expenses. Personal expenses are neither reimbursable to the legislative agent by the employer, nor deductible as a business expense under the Internal Revenue Code.**

Food, beverages, lodging and transportation \$ \_\_\_\_\_  
Office expenses \$ \_\_\_\_\_  
Professional & technical research & assistance (eg. consulting fees) \$ \_\_\_\_\_  
Educational & promotional items \$ \_\_\_\_\_  
Miscellaneous expenses \$ \_\_\_\_\_

Category C Total \$ \_\_\_\_\_.

**TOTAL OF ALL CATEGORIES** \_\_\_\_\_.

**Notice:** State law requires legislative agents and employers to maintain receipts or records for all expenditures through the end of the second calendar year after the expenditure is made.

**ANY PERSON WHO KNOWINGLY FILES A FALSE STATEMENT IS IN VIOLATION OF STATE LAW AND SUBJECT TO FINES AND OTHER PENALTIES.**

I CERTIFY UNDER PENALTY OF LAW THAT THE INFORMATION GIVEN IN THIS UPDATED REGISTRATION STATEMENT IS COMPLETE AND ACCURATE.

DATE \_\_\_\_\_ SIGNATURE \_\_\_\_\_  
(Legislative Agent)